



BUREAU VERITAS

### Wal-Mart Supply Chain Security Audit Report

Factory Details		Audit Report Details	
Factory Name: Dongguan Thousand Perfection Melamine Ware Ltd.		Wal-Mart Audit ID: 3463539	
Factory Address: No. 480, Youyi Road, Dengwu Precinct, Qiaotou Town, Dongguan City, Guangdong Province, China		Supplier ID: 28079189	
Vendor/Agent: Gsl Limited		Factory ID: 28071988	
Factory City: Dongguan City	Country: China	BV Report#: 10133250947	
Factory Contact Person: Mr. Zheng Shuang	Title: Compliance officer	Audit Date: 2013/12/10	
Factory Contact Tel#: 86-769-86936888-116	Email: audit@dgtpc.com	Audit Type: Follow Up Audit	
Name of Port: Yantian Port and Shekou Port		Auditor Name: Derrick Hu	
Merchandise Type (Product Category): Melamine Ware			

Scoring		
Section Name	Number of Violation	Degree of Compliance
1. PERSONNEL SECURITY	1	89%
2. THREAT AWARENESS	0	100%
3. PHYSICAL ACCESS CONTROLS	0	100%
4. PHYSICAL SECURITY	0	100%
5. CONTAINER/TRAILER SECURITY	1	94%
6. PROCEDURAL SECURITY	1	92%
7. INFORMATION SECURITY	1	89%
8. CONTRACTOR SECURITY	0	100%
<b>Factory Overall Score</b>	<b>96</b>	<b>Factory Overall Rating</b> <span style="background-color: green; color: white; padding: 2px;">Passed</span>

**Overall Comments**

~Factory established: November 19, 2004  
~Main manufacturing processes: Molding, Decaling, Polishing, Inspection and Packaging  
~Product category: Melamine Ware  
~Factory area: Land area around 40,500 square meters, and construction area of 37,500 square meters  
~Employee number: 970  
~Production capacity: Approximately 5,000,000 pieces per month  
~International certification: ISO9000  
~Peak season: Not obviously.  
~Major market: US and Europe  
~Major customer: Target and Carlisle  
~Working days: From Monday to Friday for normal working, and Saturday for overtime working  
~Working time schedule: 2 shifts: 8:00-19:30 (2 hours as overtime), and 21:30-8:00 (2 hours as overtime)  
~Security system: There're 4 sets CCTV system with 76 cameras in the factory, and electronic detector system was used for the fence wall.  
~Arrangement of security guards: 13 security guards were on duty 24 hours per day and 7 days per week. And 3 shifts were respectively from 07:00 to 15:00, 15:00 to 23:00, and 23:00 to 7:00.  
~Product liability insurance and product recall insurance were purchased? Yes, they were both purchased.

Remark: Nil

**1. PERSONNEL SECURITY**

Description of Non-Compliance

Provide a comment for each Partial, No or N/A:

1. Finding Details: Per factory tour, it was noted that 5 employees did not wear ID badges during working time.  
Requirement: The facility shall have a written policy to issue a photo identification card or an access card to each employee for access to the facility.

**2. THREAT AWARENESS**

Description of Non-Compliance

Provide a comment for each Partial, No or N/A:

Nil

**3. PHYSICAL ACCESS CONTROLS**

Description of Non-Compliance

Provide a comment for each Partial, No or N/A:

Nil

--

**4. PHYSICAL SECURITY**

Description of Non-Compliance

Provide a comment for each Partial, No or N/A:

Nil

**5. CONTAINER/TRAILER SECURITY**

Description of Non-Compliance

Provide a comment for each Partial, No or N/A:

1. Finding Details: It was noted that CCTV was used to capture container/trailer loading. However, the recording was not kept for a minimum of 30 days, but only 27 days.  
Requirement: When CCTV is used to capture container/trailer loading, the recording should be kept for a minimum of 30 days.

**6. PROCEDURAL SECURITY**

Description of Non-Compliance

Provide a comment for each Partial, No or N/A:

1. Finding Details: It was noted that the facility's written agreement with their transport company didn't indicate preferred transit route(s) used by the driver, the allowable transit time limit and designated rest/meal stop locations.

Remark: The written agreement required that the driver could not change the transit route without permit, could not stop without reasonable cause, could not carry unrelated people or goods and could not drink wine during working and within 8 hours before work.

Requirement: The facility's written agreement with their transport company should indicate preferred transit route(s) used by the driver, the allowable transit time limit, designated rest/meal stop locations and a process for a driver to report a container or trailer security issue.

**7. INFORMATION SECURITY**

Description of Non-Compliance

Provide a comment for each Partial, No or N/A:

1. Finding Details: The system administrator would not receive a report of invalid password attempts.

Requirement: The system administrator shall be able to receive a report of invalid password attempts.

**8. CONTRACTOR SECURITY**

Description of Non-Compliance

Provide a comment for each Partial, No or N/A:










Nil



**BUREAU  
VERITAS**

## Digital Photo Form

Client: Wal-Mart	Date of Audit: December 10, 2013	No. of Pages: 2
Agent: Gsl Limited	Report No: 10133250947	No. of photos: 18
Factory Name: Dongguan Thousand Perfection Melamine Ware Ltd.		
Location of Audit Site: No. 480, Youyi Road, Dengwu Precinct, Qiaotou Town, Dongguan City, Guangdong Province, China		

		
Factory name	Factory gate	Security guard on duty
		
Communication tool	CCTV records	Factory car parking area
		
Visiting car parking area	Visitor card	Visiting log

		
Lighting	Monitoring camera	Electronic detector system
		
Loading area	Finished goods warehouse	Packing section
		
Access control	Emergency contact posted on site	Employee not wearing photo identification card